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March 18, 2002

SEAN D. DETWEILER

Commissioner for Patents Washington, D.C. 20231

Attn: Office of Initial Patent Examination
Customer Service Branch

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MAY 0 8 2002

**TECH CENTER 1600/2900** 

Re: U.S. Patent Application Serial No. 09/830,762

(Based on International Patent Application Serial No. PCT/US99/25439)

Title: "Lymphoma Associated Molecules and Uses Therefor"

Inventors: Margaret Shipp et al. Filing Date: April 27, 2001

Attorney Docket No. DFN-031US

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Request for Correction of Filing Receipt (1 page);
- 2. Copy of Official Filing Receipt with change noted in red (1 page);
- 3. Statement of Limited Recognition Under 37 C.F.R. §10.9(b) for Maria C. Laccotripe (1 page); and
- 4. Prepaid acknowledgment postcard.

No costs are believed due in connection with the filing of this Request. However, please charge any necessary fees in connection with the enclosed filing to our Deposit Order Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. For this purpose, a duplicate of this sheet is attached.

#### Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, Attn: Office of Initial Patent Examination, Customer Service Branch on:

March 18, 2002

Maria C. Laccotripe, Ph.D., J.D.

Limited Recognition Under 37 C.F.R. § 10.9(b)

LAHIVE & COCKFIELD, LLP

Attorneys at Law

Maria C. Laccotripe, Ph.D.

Limited Recognition Under 37 C.F.R. §10.9

Attorney for Applicants

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Margaret Shipp et al.

U.S. Serial No.: 09/830,762

U.S. Filing Date: April 27, 2001

International Serial No.: PCT/US99/25439

International Filing Date: October 29, 1999

For: "Lymphoma Associated Molecules and

Uses Therefor"

Attorney Docket No.: DFN-031US

Commissioner for Patents Washington, D.C. 20231

Attn: Office of Initial Patent Examination

**Customer Service Center** 

Group Art Unit: 1645

Examiner: Not Yet Assigned

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MAY 0 8 2002

TECH CENTER 1600/2900

### REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

The Filing Receipt for the above-identified patent application *incorrectly* spells the name of the second inventor. His name should appear as:

Ricardo Aguiar

instead of

Ricardo Agular

A copy of the Filing Receipt with this change noted in red is submitted herewith. Applicants' respectfully request that the Filing Receipt be corrected accordingly. Since this error resulted on the part of the U.S. Patent and Trademark Office, no fees are believed due for the requested correction. However, if fees are due in connection with this request, please charge them to our Deposit Account No. 12-0080.

### Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, Attn: Office of Initial Patent Examination, Customer Service Branch on:

March 18, 2002 Date

Maria C. Laccotripe

Limited Recognition Under 37 C.F.R. § 10.9(b)

Date: March 18, 2002

LAHIVE & COCKFIELD, LLP

Attorneys at Law

By:

Maria C. Laccotripe, Ph.D.

Limited Recognition Under 37 C.F.R. §10.9(b)

Attorney for Applicants



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## United States Patent and Trademark Office

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IND CLAIMS FIL FEE REC'D ATTY DOCKET, NO DRAWINGS TOT CLAIMS GRP ART UNIT APPLICATION NUMBER FILING DATE 1645 904 **DFN-031US** . 23 10/12/2001 09/830,762

**CONFIRMATION NO. 2130** 

FILING RECEIPT

OC000000007157403\*

Amy E Mandragouras Lahive & Cockfield 28 State Street Boston, MA 02109

Date Mailed: 12/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Margaret Shipp, Wellesley, MA; -Ricardo Agular, Chestnut Hill, MA; Yoshi Yakushijin, Ehime, JAPAN;

Ricardo Aguiar RECEIVED

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Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/US99/25439 10/29/1999 WHICH CLAIMS BENEFIT OF 60/106,383 10/29/1998 AND CLAIMS BENEFIT OF 60/106,448 10/30/1998

Foreign Applications

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Lymphoma associated molecules and uses therefor

**Preliminary Class** 



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## BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE UNITED STATE PATENT AND TRADEMARK OFFICE

### LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Maria C. Laccotripe is hereby given limited recognition under 37 CFR §10.9(b) as an employee of Lahive & Cockfield, LLP, to prepare and prosecute patent applications where the patent applicant is the client of Lahive & Cockfield, LLP, and the attorney or agent of record in the applications is a registered practitioner who is a member of the Lahive & Cockfield, LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Maria C. Laccotripe ceases to lawfully reside in the United States, (ii) Maria C. Laccotripe's employment with Lahive & Cockfield, LLP ceases or is terminated, or (iii) Maria C. Laccotripe ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: September 4, 2002

Harry I. Moatz

Director of Enrollment and Discipline